

Docket No.  
694231/002

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### A METHOD OF CONTROLLING AN INTERNET BROWSER INTERFACE AND A CONTROLLABLE BROWSER INTERFACE

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on October 28, 1999 as United States Application No. or PCT International Application Number 09/429,585  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

<u>60/106,002</u>	<u>October 28, 1998</u>
(Application Serial No.)	(Filing Date)
  <u>                    </u>	  <u>                    </u>
(Application Serial No.)	(Filing Date)
  <u>                    </u>	  <u>                    </u>
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>                    </u>	<u>                    </u>	<u>                    </u>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
  <u>                    </u>	  <u>                    </u>	  <u>                    </u>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
  <u>                    </u>	  <u>                    </u>	  <u>                    </u>
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

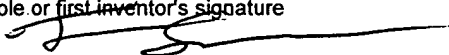
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Lawrence Rosenthal	24,377
Steven B. Pokotilow	26,405
Howard M. Gitten	32,138
Matthew W. Siegal	32,941
James J. DeCarlo	36,120

Send Correspondence to: **STROOCK & STROOCK & LAVAN LLP**  
**180 Maiden Lane**  
**New York, New York 10038**

Direct Telephone Calls to: *(name and telephone number)*  
**James J. Decarlo (212) 806-6660**

Full name of sole or first inventor <b>Thomas J. Shafron</b>	
Sole or first inventor's signature 	Date <b>12/20/99</b>
Residence <b>1483 Sutter Street, Apt. #1601, San Francisco, California 94109</b>	
Citizenship <b>United States of America</b>	
Post Office Address <b>Same</b>	

Full name of second inventor, if any	
Second inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Thomas Joshua Shafron**

Docket No.

**694231/002**

Serial No.

**09/429,585**

Filing Date

**October 28, 1999**

Examiner

**Not Yet Assigned**

Group Art Unit

**2755**

Invention: **METHOD OF CONTROLLING AN INTERNET BROWSER INTERFACE AND A CONTROLLABLE BROWSER INTERFACE**

I hereby certify that this **Recordation Form Cover Sheet and Assignment**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**July 13, 2001**

*(Date)*

**Helen Giglio**

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

**Note: Each paper must have its own certificate of mailing.**

# RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF

Patent and Trademark Office

## PATENTS ONLY

Attorney Ref. No. 694231/002

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

THOMAS J. SHAFRON

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and Address of receiving party(ies)

Name:

Yahoo! Inc.  
701 First Avenue  
Sunnyvale, California 94089

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: July 11, 2001

4. Application number(s) or patent number(s): 09/429,585

If this document is being filed together with a new application, the execution date of the application is March 18, 1998.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

James J. DeCarlo, Esq.  
STROOCK & STROOCK & LAVAN LLP  
180 Maiden Lane  
New York, New York 10038-4982

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☐ Enclosed

☒ Any deficiency is authorized to be charged to  
Deposit Account No. 19-4709

8. ☒ Charge to Deposit Account No. 19-4709

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

for: James J. DeCarlo, Reg. No. 36, 120  
Name of Person Signing

*David C. Terhune*  
Signature  
Reg. No. 42,548

July 13, 2001  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

Docket No.  
694231/002  
JJD:DMF

## ASSIGNMENT

WHEREAS, I, Thomas Joshua Shafron, a citizen of the United States of America, residing at 1455 Ocean Drive, Apt. 1208, Miami Beach, Florida 33139, hereinafter referred to as ASSIGNOR, has invented certain new and useful improvements in METHOD OF CONTROLLING AN INTERNET BROWSER INTERFACE AND A CONTROLLABLE BROWSER INTERFACE, for which I have filed an application for Letters Patents of the United States on October 28, 1999, as application Serial No. 09/429,585 (the "Application");

WHEREAS, YAHOO! Inc., a corporation organized and existing under the laws of the United States, having a mailing address at 701 First Avenue, Sunnyvale, California 94089, is desirous of obtaining the entire right, title and interest in, to and under the improvements and the Application;

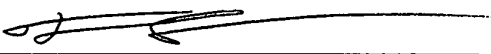
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the Application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN TESTIMONY WHEREOF, I have set my hand and seals to this Assignment.

July 11, 2001

  
THOMAS JOSHUA SHAFRON

  
WITNESS

LINDA GRANDA-SOLIS  
Notary Public, State of New York  
No. 4822277  
Qualified in Bronx County  
Commission Expires January 31, 2003

	FEB.	MAR.	APR.	MAY	JUN.	JUL.	AUG.		OCT.	NOV.	DEC.		
FLAG FOR EXPECTED MONTH OF RESPONSE BY PAT. O.													

MONTH DAY

Docket No. : 694231/0002

7/13/01

Serial No. : 09/429,585

Attorney: JJD:DMF

Applicant: Yahoo! Inc.

Filed : October 28, 1999

For: METHOD OF CONTROLLING AN INTERNET BROWSER INTERFACE AND A CONTROLLABLE BROWSER INTERFACE

date of receipt by pat. o.  
FILE BY THIS DATE

Enclosures:

- 1) Recordation Form Cover Sheet
- 2) Assignment
- 3) Certificate of Mailing

MASTER CARD MARKED \_\_\_\_\_ GREEN & ANY YELLOW TICKLE CARDS PULLED \_\_\_\_\_

AWAITING PAT. O. ACTION TICKLE \_\_\_\_\_

CLERK \_\_\_\_\_





**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 22, 2001

PTAS

STROOCK & STROOCK & LAVAN LLP  
JAMES J. DECARLO, ESQ.  
180 MAIDEN LANE  
NEW YORK, NEW YORK 10038-4982



\*101783431A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/13/2001

REEL/FRAME: 011983/0074  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SHAFRON, THOMAS J.

DOC DATE: 07/11/2001

ASSIGNEE:  
YAHOO! INC.  
701 FIRST AVENUE  
SUNNYVALE, CALIFORNIA 94089

SERIAL NUMBER: 09429585  
PATENT NUMBER:

FILING DATE: 10/28/1999  
ISSUE DATE:

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/429,585	10/28/99	2755	\$1,786.00	694231/002	11	38	12

STROOCK & STROOCK & LAVAN LLP  
180 MAIDEN LANE  
NEW YORK NY 10039

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) THOMAS J. SHAFRON, SAN FRANCISCO, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
PROVISIONAL APPLICATION NO. 60/106,002 10/28/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/29/99 \*\* SMALL ENTITY \*\*  
TITLE  
METHOD OF CONTROLLING AN INTERNET BROWSER INTERFACE AND A  
CONTROLLABLE BROWSER INTERFACE

PRELIMINARY CLASS: 709

DATA ENTRY BY: SCOTT, JOSEPH

TEAM: 03 DATE: 11/29/99



(See reverse for new important information)

FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/429,585	10/28/99	2755	\$1,916.00	694231/002	11	38	12

STROOCK & STROOCK & LAVAN LLP  
180 MAIDEN LANE  
NEW YORK NY 10039

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PRELIMINARY CLASS: 709

DATA ENTRY BY: SCOTT, JOSEPH

TEAM: 03 DATE: 01/21/00



(See reverse for new important information)